

RTSP-0210

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- 1) Transmittal Letter (2 copies);
- 2) PCT International Application;
- 3) International Search Report;
- 4) International Preliminary Examination Report;
- 5) Return Postcard;
- 6) Statement to Support Filing and Submission in Accordance with 37 C.F.R. §§1.821-1.825;
- 7) Paper copy of Amended Sequence Listing;
- 8) Diskette containing computer readable copy of Sequence Listing; and
- 9) Check in the amount of \$50.00 for filing fees.

JANE MASSEY LICATA

From the

INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

JANE MASSEY LICATA LICATA & TYRRELL, P.C. 66 E. MAIN STREET MARLTON, NJ 08053

**PCT** 

NOTIFICATION OF TRANSMITTAL OF INTERNATIONAL PRELIMINARY **EXAMINATION REPORT** 

(PCT Rule 71.1)

Date of Mailing (day/month/year)

Applicant's or agent's file reference

International application No.

RTSP-0046

**IMPORTANT NOTIFICATION** International filing date (day/month/year) Priority date (day/month/year)

PCT/US00/00629

11 January 2000 (11.01.2000)

25 June 1999 (24.06.1999)

Applicant

ISIS PHARMACEUTICALS, INC

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

#### 4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices)(Article 39(1))(see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and furnish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/US

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Facsimile No. (703)305-3230

Telephone No. 703-308-0196

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## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.	
PCT/US00/00629	

I.	Basi	s of the rep rt
1.	With	regard to the elements of the international application:*
	$\boxtimes$	the international application as originally filed.
	冈	the description:
		pages 1-80 as originally filed
		pages NONE , filed with the demand
		pages NONE , filed with the letter of
	$\bowtie$	the claims:
		pages 81 and 82 , as originally filed
		pages NONE , as amended (together with any statement) under Article 19 pages NONE , filed with the demand
		pages NONE, filed with the letter of
		the drawings:
		pages NONE, as originally filed pages NONE, filed with the demand
		pages NONE , filed with the letter of
	$\square$	the sequence listing part of the description:
		pages 1-11 as originally filed
		pages NONE , filed with the demand
		pages NONE, filed with the letter of
2.	Witl	h regard to the language, all the elements marked above were available or furnished to this Authority in the
	lang	uage in which the international application was filed, unless otherwise indicated under this item.
	Thes	se elements were available or furnished to this Authority in the following language which is:
	Ш	the language of a translation furnished for the purposes of international search (under Rule23.1(b)).
		the language of publication of the international application (under Rule 48.3(b)).
		the language of the translation furnished for the purposes of international preliminary examination(under Rules
		55.2 and/or 55.3).
3.	With	h regard to any nucleotide and/or amino acid sequence disclosed in the international application, the mational preliminary examination was carried out on the basis of the sequence listing:
		contained in the international application in printed form.
	$\mathbb{R}$	filed together with the international application in computer readable form.
	$\mathbb{H}$	•
	H	furnished subsequently to this Authority in written form.
	$\vdash$	furnished subsequently to this Authority in computer readable form.
	Ш	The statement that the subsequently furnished written sequence listing does not go beyond the disclosure in the international application as filed has been furnished.
	Ш	The statement that the information recorded in computer readable form is identical to the written sequence listing has been furnished.
		The amendments have resulted in the cancellation of:
4.	$\boxtimes$	The amendments have resulted in the cancertation of:
		the description, pages NONE
		the claims, Nos. NONE
		the drawings, sheets/fig NONE
5.		This report has been established as if (some of) the amendments had not been made, since they have been considered to go
	_	beyond the disclosure as filed, as indicated in the Supplemental Box (Rule 70.2(c)).**
*	Repla	ncement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in ort as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17).
**	Any	replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.
	•	

## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International aplication No.

PCT/US00/00629

V. Reasoned statement under Article 35(2) with regard t novelty, inventive step r industrial applicability; citations and explanati ns supporting such statement					
1. STATEMENT					
Novelty (N)	Claims 1-18 Claims NONE	_YES _NO			
Inventive Step (IS)	Claims 1-18 Claims NONE	_YES _NO			
Industrial Applicability (IA)	Claims 1-18 Claims NONE	_YES _NO			
2. CITATIONS AND EXPLANATIONS (Rule 70 Claims 1-18 meet the criteria set out in PCT Article 330 targeted to human G-alpha-i3 transcripts nor methods of	2)-(4), because the prior art does not teach or fairly suggest antisense	oligos			
NEW CITATIONS					

Form PCT/IPEA/409 (Box V) (July 1998)

# **PCT**

### INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

Applicant's or agent's file reference RTSP-0046	FOR FURTHER ACTION		Transmittal of International Search Report ) as well as, where applicable, item 5 below.
International application No.	International filing date	(day/month/year)	(Earliest) Priority Date (day/month/year)
PCT/US00/00629	11 JANUARY 2000		24 JUNE 1999
Applicant ISIS PHARMACEUTICALS, INC.			
This international search report has be according to Article 18. A copy is being this international search report consists.	ing transmitted to the Intern	ational Bureau.	hority and is transmitted to the applicant
X It is also accompanied by a			eport.
1. Certain claims were found	d unsearchable (See Box I)	).	
2. Unity of invention is lacki	ing (See Box II).		
3. X The international application international search was care	n contains disclosure of a ried out on the basis of the	nucleotide and/or sequence listing	amino acid sequence listing and the
X	filed with the international	application.	
	furnished by the applicant	separately from the	international application,
			ent to the effect that it did not include matter international application as filed.
	transcribed by this Authori		
4. With regard to the title, X	the text is approved as sub	mitted by the applic	.ant
	the text has been established		
	·		
5. With regard to the abstract,			
X	the text is approved as sub	mitted by the applic	eant.
		may, within one m	e 38.2(b), by this Authority as it appears nonth from the date of mailing of this o this Authority.
6. The figure of the drawings to be 1	published with the abstract i	is:	
Figure No.	as suggested by the applica	ant.	None of the figures.
	because the applicant failed	d to suggest a figure	
	because this figure better c	haracterizes the inve	ention.

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### **PCT**

#### **NOTIFICATION OF ELECTION**

(PCT Rule 61.2)

From	the	INTERN	IATIONA!	RURFAL

To:

Commissioner
US Department of Commerce
United States Patent and Trademark
Office, PCT
2011 South Clark Place Room
CP2/5C24
Arlington, VA 22202

ETATS-UNIS D'AMERIQUE

· in its capacity as elected Office

Date f mailing (day/month/year)				
0	2 April 2001 (02.04.01)			

Int rnational application No. PCT/US00/00629

International filing date (day/month/year)

11 January 2000 (11.01.00)

Applicant's or agent's file reference

RTSP-0046

Priority date (day/month/year)
25 June 1999 (25.06.99)

**Applicant** 

COWSERT, Lex, M.

The designated Office is hereby notified of its election made:
X in the demand filed with the International Preliminary Examining Authority on:
16 January 2001 (16.01.01)
in a notice effecting later election filed with the International Bureau on:
The election X was
was not
made before the expiration of 19 months from the priority date or, where Rule 32 applies, within the time limit under Rule 32.2(b).

The International Bureau f WIPO 34, chemin des Col mbettes 1211 Geneva 20, Switzerland

Facsimile No.: (41-22) 740.14.35

**Authorized officer** 

Claudio Borton

Telephone No.: (41-22) 338.83.38



## INTERNATIONAL PRELIMINARY EXAMINATION REPORT

### (PCT Article 36 and Rule 70)

Applicant's or agent's file reference  RTSP-0046	FOR FURTHER ACTION	Preliminary E	on of Transmittal of International examination Report (Form PCT/IPEA/416)
International application No.	International filing date (day/mo	nth/year)	Priority date (day/month/year)
PCT/US00/00629	11 January 2000 (11.01.2000)		25June 1999 (24.06.1999)
International Patent Classification (IPC)	or national classification and IPC		
IPC(7): C07H 21/04; A61K 48/00; C12C	2 1/68 and US Cl.: 435/6, 325, 3	75; 514/44; 536/	23.1, 24.5
Applicant			
ISIS PHARMACEUTICALS, INC			
	ary examination report has be is transmitted to the applicant a		this International Preliminary ticle 36.
2. This REPORT consists of	a total of $\underline{3}$ sheets, including	this cover shee	et.
This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).			
These annexes consist of a	total of $\underline{U}$ sheets.		
3. This report contains indicat	tions relating to the following	items:	
I Basis of the repo	ort		
III Non-establishme	nt of report with regard to nov	elty, inventive	step and industrial applicability
IV Lack of unity of			
V Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement			•
L-J			
VII Certain defects in the international application			
		ation	
VIII Certain observations on the international application			
Date of submission of the demand	Date	of completion	of this report
16 January 2001 (16.01.2001) 17 September 2001 (17.09.2001)		7.09.2001)	
Name and mailing address of the IPEA/US  Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231  Andrew Wang		pufful.	faultexce for
Facsimile No. (703)305-3230 Telephone No. 703-308-0196		08-0196	

Form PCT/IPEA/409 (cover sheet)(July 1998)



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